# Three-dimensional study on the interconnection and shape of crystals in a graphic granite by X-ray CT and image analysis

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## ABSTRACT

The technique of investigating 3-dimensional interconnections and the shapes of crystals in a rock by X-ray computerized tomography (CT) and image analysis was developed using a graphic granite specimen as an example. Fifty 2-dimensional tomographic images (slices) of the graphic granite were obtained 'non-destructively' using a medical X-ray CT scanner. Since a CT value of the specimen was decreased with increasing cross-sectional sample area by the effect of beam-hardening, the CT value was corrected using the area of each slice. Binary images of the slices were made comparing one of them with a thin-section of the slice. Using the binary images, connection analysis of quartz rods in the graphic granite specimen was performed on the basis of percolation theory (cluster labelling). This analysis showed that at least 89.9% of the quartz rods were connected in three dimensions. Furthermore, the 3-dimensional shape of the quartz rods was analysed using the 2-point correlation function calculated from the binary images. The average shape of the quartz rods was obtained by fitting an ellipsoid to the high-value region of the 2-point correlation function. The elongation axis of the ellipsoid agreed well with the crystallographic *c*-axes of the quartz rods.

**Keywords:** X-ray CT, 3-dimensional image analysis, graphic granite, cluster labelling, 2-point correlation function.

## Introduction

IN the Earth Sciences, textures in rocks are examined in two dimensions using thin-sections or polished sections. Sometimes, 2-dimensional investigation is enough to quantify 3-dimensional characteristics of texture. For example, if an appropriate model of crystal shape is assumed, we can determine a 3-dimensional crystal size distribution from a 2-dimensional distribution by stereology (e.g. Cashman and Marsh, 1988). However, many features of the 3-dimensional structure cannot be investigated by 2-dimensional observation. A 3-dimensional network structure, such as a pore network, is often interpreted as separate pores by 2-dimensional observation. Also, interconnection of crystals in three dimensions cannot be recognized by 2-dimensional analysis. The interconnections of crystals contain significant information relating to the origins of the rocks, e.g. the process of magma differentiation (Philpotts *et al.*, 1998). Therefore, it is important to develop the technique to analyse a 3-dimensional network structure.

There are some techniques to investigate the 3dimensional internal structure of rocks. For example, Bryon *et al.* (1995), Cooper and Hunter (1995) and Marschallinger (1998) demonstrated 3-dimensional reconstructions of the internal structures in rock samples using successive digitized images acquired by serial thinsectioning or lapping. Philpotts *et al.* (1998)

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discovered the 3-dimensional network structure (chains) of plagioclase phenocrysts in a basalt specimen by a partial melting experiment. However, the technique of serial polishing needs skill and time. Also, the techniques of partial melting or selective dissolution with acids or alkalis are not appropriate for every sample.

Nowadays, we can observe the internal structures of rocks by non-destructive methods. such as X-ray computerized tomography (CT). X-ray CT was invented and developed in the medical field in the 1970s and has been applied to geological samples in recent years. For example, by the X-ray CT method, we can analyse directly the 3-dimensional crystal-size distribution, crystal spatial disposition (Denison et al., 1997; Denison and Carlson, 1997), and structure of cracks or pores (Raynaud et al., 1989; Nakano et al., 1992; Nakashima et al., 1997). However, the techniques to investigate the 3-dimensional connection and shape of crystals have not become well established. Furthermore, X-ray CT images generally have an error resulting from beam-hardening (see below). This error affects precise analysis. In this study we developed a technique to correct the error and to investigate the 3-dimensional connection and shape of crystals in a rock by

combining the X-ray CT method and image analysis. The connection analysis was based on the technique of pore-network analysis proposed by Nakano *et al.* (1992). Graphic granite was selected as an example for these analyses because quartz rods in graphic granite are known to connect to each other as illustrated in the following section.

## Specimen and interconnection of quartz rods

We used the specimen of graphic granite shown in Fig. 1 (Sample GSJ-R6052, from the Geological Survey of Japan). This specimen was obtained by H. Matsubara (Matsubara, 1956) from the Shiozawa district in Ishikawa town, Fukushima Prefecture, Japan. Around Ishikawa, there are many granitic pegmatites within a granitic body intruded into the Abukuma metamorphic belt. The specimen was collected from a graphic granite layer in one of the pegmatite bodies (Matsubara, 1956). The K-Ar age of the surrounding granitic body is 90–100 m.y. (Kawano and Ueda, 1967).

The specimen consists of quartz rods and host alkali-feldspar (microcline microperthite). In the specimen, most of the quartz rods show



FIG. 1. Specimen of graphic granite (GSJ-R6052) with coordinate system for the X-ray CT (left), and three slices (right). Fifty slices parallel to the XY-plane were obtained along the Z-axis. The dark parts are quartz rods both in the photograph of the specimen and in the CT images. The areas surrounded by black lines in the CT images were used for the three-dimensional analysis and correspond to the areas of images shown in Fig. 4.



FIG. 2. Photographs of the thin-section of the X-ray CT image no. 16. in crossed polars. (*a*) Simultaneous extinction of alkali feldspar host. The bright parts are quartz grains. (*b*) Simultaneous extinction of most of the quartz rods. The quartz rod surrounded by the broken line has three domains with different crystallographic orientations. The dark domain in the rod shows the simultaneous extinction with most of the quartz rods. The dark circular region near the corner of the box (broken line) was lost in the process of making the thin-section.

simultaneous extinction (Fig. 2) as reported in many previous studies (e.g. Wahlstrom, 1939; Simpson, 1962). Furthermore, we confirmed, using a precession camera, that the elongation direction of the quartz rods correspond approximately with the crystallographic *c*-axis of quartz. In order to find the cause of the simultaneous extinction, Simpson (1962) performed successive surface grinding of a graphic granite specimen and showed interconnections of the quartz rods. He concluded that the simultaneous extinction occurs because all quartz rods are parts of the same crystal. Therefore, we concluded that graphic granite was a good choice of material to investigate 3-dimensional crystal interconnection.

## X-ray CT

X-ray CT is a non-destructive technique used to determine tomographic maps of the X-ray linear attenuation coefficient in an object. We describe the 2-dimensional X-ray CT images as 'slices'. The brightness in the slices (e.g. in Fig. 1) shows the linear attenuation coefficient, which depends on the density and chemical composition of the object and the energy of the incident X-ray beam (Koch and MacGillavry, 1962; McCullough, 1975; Denison *et al.*, 1997).

The linear attenuation coefficient obtained by X-ray CT is generally called the 'CT value'. It is a dimensionless number normalized by the linear

attenuation coefficient of water as defined by the following equation

CT value = 
$$\frac{\mu - \mu_{w}}{\mu_{w}} \times 1000$$
 (1)

where  $\mu$  and  $\mu_w$  are the linear attenuation coefficients of the object and water, respectively.

We used a medical X-ray CT scanner (Hitachi CT-W2000) to obtain the slices of the specimen. The scanner belongs to the third-generation type adopting a continuous rotation method. The continuous (polychromatic) X-ray beam from a target of Mo-W alloy was used. The voltage and current of the X-ray tube were 120 kV and 175 mA respectively. The X-ray exposure time for each slice was 4.0 s. We used the 3-dimensional coordinate system for acquisition of the X-ray CT images shown in Fig. 1 (XYZ-axes). The slices lay in the XY-plane. The size of the voxel (volume element in three dimensions; corresponding to 'pixel' in two dimensions) on the XY-plane was  $0.313 \times 0.313$  mm<sup>2</sup>, which was considered as the in-plane resolution in the slices. The thickness of each slice was 1.0 mm. The specimen was automatically moved along the Z-axis by 0.5 mm after acquisition of each slice. Thus each slice overlaps by 0.5 mm in thickness with the adjacent slices. Fifty 16-bit images (slices) along the Z-axis were obtained in all. It took 28 min to acquire 50 slices.

With the graphic granite specimen, the CT value of alkali feldspar (~2080) was greater than that of quartz (~1980), though the density of alkali feldspar is lower than that of quartz. The higher CT value of alkali-feldspar depends on high attenuation by K (Raynaud *et al.*, 1989). As described above, the linear attenuation coefficient is a function not only of density but also of chemical composition and the energy distribution of the X-ray beam. We confirmed the attenuation coefficients of alkali feldspar and quartz using the formulae and attenuation coefficients of McCullough (1975) and Hubbell *et al.* (1980) at an X-ray energy of <120 keV.

## Image analysis techniques

## Correction of beam-hardening

The CT value obtained using a continuous (polychromatic) X-ray beam is influenced by the beam-hardening (Denison *et al.*, 1997). The low-energy component of X-ray photons is more absorbent than the high-energy component. Thus, most of the low-energy photons are absorbed at the periphery of a specimen just after entry of the X-ray beam into the specimen. In the X-ray CT technique, image reconstruction is performed using the sum total of the photons belonging to all energy levels as intensity data; thus the linear attenuation coefficient at the periphery, where many photons are absorbed, is calculated as a high value even if the specimen





is homogeneous. This beam-hardening causes two types of errors in the CT value. One error arises from the heterogeneity of the CT value within each slice. The CT value at the centre of the slice is lower than that at the periphery even in the homogeneous material. The heterogeneity within each slice was not seriously affected by omitting the periphery from the analysed area (Fig. 1 right). The other error is the difference in the CT value between different slices. A slice with large cross-section area (e.g. no. 50 in Fig. 1) has a lower CT value than a slice with small area (e.g. no.1 in Fig. 1). In this study, the difference in the CT values amongst the slices was very large, and we had to correct for it. As shown in detail later, we found a simple relationship between the error (difference of CT value) and the cross-sectional area of the slice. The increase of the CT value was proportional to the decrease of the area of the slice. We corrected the CT value of each slice in proportion to the decrease of the area.

## Thresholding

In order to perform 3-dimensional analysis, we made binary images of the fifty slices using an ordinary thresholding (segmentation) technique of a grey level image (e.g. Dilks and Graham, 1985), and identified the quartz rods as follows. Firstly, the binary image of slice no. 16 was made by comparing it carefully with the thin-section corresponding to the slice (Fig. 2). Since the CT value at the edge of the specimen becomes much larger owing to the effect of the beam-hardening (and because of the Gibbs' phenomenon relating to the algorithm of image reconstruction) as described above, we cut out an area of  $28 \times 28 \text{ mm}^2$  (90 × 90 voxels) from the original slice and used it for the 3-dimensional analysis. Binary images of the other 49 slices were made in the same way. The cut-out areas on several slices are shown in Fig. 1 (right).

## Connection analysis (Cluster labelling)

We developed software to visualize and analyse objects from 3-dimensional X-ray CT images (Nakano and Fujii, 1989*a*, 1991; Nakano *et al.*, 1992, 1997). The binary images were used to analyse the connection of quartz rods. In percolation theory, the analysis is known as the 'cluster labelling method' (Stauffer, 1985). In this analysis, connection of two adjacent voxels in the



FIG. 4. Grey level images and binary images before and after the correction of CT value. Only 11 of 50 slices are shown (every 5 slices). Each image consists of  $90 \times 90 = 8100$  voxels ( $28 \times 28$  mm<sup>2</sup>). (a) 16-bit grey level images before the correction. (b) Binary image before the correction. (c) 16-bit grey level images after the correction. (d) Binary image after the correction. In the binary images, the black region indicates the quartz rods which belong to the largest cluster while the grey indicates the quartz rods which do not belong to the largest cluster in Fig. 6f.

quartz rods is evaluated as shown in Fig. 3. When two voxels shared a face, we considered them as connecting voxels. When two voxels contacted only at a vertex or an edge, we considered them as separate voxels. This criterion is commonly used in the connectivity analysis (Stauffer, 1985; Nakano and Fujii, 1989*b*). We call connected voxels a 'cluster'. The extent of interconnection of quartz rods was assessed quantitatively using the term 'connectivity' which is a volume fraction relating the largest cluster of quartz rods to the volume of all quartz rods (Nakano and Fujii, 1989*b*):

$$Connectivity = \frac{Volume of the largest cluster}{Total volume of all quartz rods}$$
(2)

From this definition, the connectivity becomes 1 when all quartz rods are connecting in the specimen.

#### Shape analysis

In order to analyse the 3-dimensional shape of quartz rods, a 2-point correlation function (e.g. Berryman, 1985; Berryman and Blair, 1986) was calculated in three dimensions. The 2-point correlation function represents two significant spatial correlation features. One is the longdistance correlation such as the spatial distribution of minerals in rocks (Morishita and Obata, 1995; Morishita, 1998). In this case, we can obtain information on the degree of randomness for individual minerals, the mean distance between different minerals, etc. The other feature of the function is the short-distance correlation about the origin, and it represents the average shape of an object. We used the latter feature of the function. In the graphic granite specimen shown in Fig. 1, the quartz rods seem to extend (grow) in the same direction; thus it was expected that the 2-point correlation function of the quartz rods would show meaningful results with respect to their average shape. Furthermore, it is known that the 2-point correlation function is not strongly influenced by the noise of the image data; thus it was expected that we could perform precise shape analysis.

In binary images, each voxel has a value of 1 or 0. Here we define the value of each voxel  $B(\mathbf{r})$  in the **r** space as follows:

 $B(\mathbf{r}) = \begin{cases} 1 \text{ when the voxel was in the quartz rods} \\ 0 \text{ when the voxel was not in the quartz rods} \end{cases}$ 



FIG. 5. (a) Histograms of the CT value for 50 slices (grey level) of 16-bit CT images shown in Fig. 4a (before the CT value correction). The horizontal axis indicates the CT value. The vertical axis indicates the frequency of the voxels. The solid circle on each histogram indicates the peak level of alkali feldspar. (b) Variation of cross-sectional area of the specimen with slice no. The areas correspond to the number of voxels with CT value >1000.

The 2-point correlation function of quartz is expressed as

$$AC(\vec{\delta}) = \langle B(\vec{\mathbf{r}}) \cdot B(\vec{\mathbf{r}} + \vec{\delta}) \rangle_{\vec{\mathbf{r}}}$$
(4)

where the brackets  $\langle \rangle_{\vec{r}}$  indicate a volume average over the spatial coordinate  $\vec{r}$ . In three dimensions,  $AC(\vec{\delta})$  is written as AC(dx, dy, dz). AC(0,0,0), which is the value at the origin, shows the highest value and represents the volume fraction of the object (i.e. the quartz rods). Around the origin, there is a spatial field having high AC(dx, dy, dz)values, and the field is considered to represent the average shape of the objects. In this study, an ellipsoid was fitted to the field whose AC(dx, dy, dz)values were greater than or equal to half of AC(0,0,0) using the method shown in the Appendix.

#### **Results and discussion**

## Data correction and thresholding

Three slices of a 2-dimensional X-ray CT image are shown in Fig. 1 (right). As described above, there was a large difference in the CT value between the slices. Figure 4*a* shows the 11 slices (every 5 slices) of grey-scale images ( $90 \times 90$ voxels) before the CT value correction. If we carry out thresholding with a fixed threshold value (grey level), we obtain the binary images shown in Fig. 4*b*. The slices having a larger slice number show a higher volume fraction of quartz rods.

This trend is not consistent with what we see in thin-sections. From our observations of several thin-sections, the volume fraction of quartz rods in the specimen is almost the same from slice no. 1 to no. 50. Figure 5a shows histograms of the CT value for all slices. The solid circle at the top of the each histogram indicates the CT value of alkali feldspar. We recognize the systematic shift in peak position of alkali feldspar. Figure 5b shows the variation of the cross-sectional area of the slices. The areas of the slices increase with increasing slice number. Since the variation of the area is almost linear with the slice no. (Fig. 5b), we considered that the linear shift in the peak position of the histogram (Fig. 5a) was caused by the beam-hardening, depending on the variation of the cross-sectional sample area. Thus we corrected the CT value of all slices by shifting the histograms as shown in Fig. 5a, on the assumption that the peak position of alkalifeldspar must be the same in all slices. The corrected (shift) value of each slice was determined as (maximum corrected value)  $\times$  (50 - slice no.)/49.

The corrected grey level images are shown in Fig. 4c and the binary images obtained by the thresholding are shown in Fig. 4d. The threshold CT value extracting only quartz (2024) was determined by comparing slice no. 16 with its thin-section. After the data correction, the area of the quartz rods become almost the same for all slices (Fig. 4d).

#### Connection analysis (cluster labelling)

Figure 6 shows the result of the 3-dimensional connection analysis (cluster labelling) using the binary images. The sub-figures show a bird's-eye view reconstructed using: (a) 1 slice; (b) 5 slices; (c) 10 slices; (d) 20 slices; (e) 30 slices; and (f) 50 slices, respectively. The left column of this figure shows all the quartz rods and the right column shows only the largest cluster of connected quartz rods. The sub-figures in the right column (a-f) indicate the results of connection analysis calculated individually using the data from 1, 5, 10, 20, 30 and 50 slices, respectively. Results (a-e) are not part of result f analysed using 50 slices. We have defined the 'connectivity' in equation 2 as the volume fraction of the largest cluster of the quartz rods (Fig. 6, right) to all quartz rods (Fig. 6, left). The calculated connectivities were (a) 0.133, (b) 0.160, (c) 0.337, (d) 0.379,



FIG. 6. 'Bird's eye view' representing 3-dimensional connection analysis (cluster labelling) of quartz rods. The left column shows all the quartz rods in the analysed area while only the largest cluster of quartz rods is shown in the right column. Each figure shows the image constructed using slices of (a) no. 1, (b) no. 1-5, (c) no. 1-10, (d) no. 1-20, (e) no. 1-30 and (f) no. 1-50, respectively. Numerical values indicate the connectivity of quartz rods defined by equation 2. In (a-f), the top slice is the CT image no. 1. The XYZ-axes are identical to those of Fig. 1. The spatial extent of (f) is 28 mm  $(X) \times 28 \text{ mm} (Y) \times 25 \text{ mm} (Z)$ .

(e) 0.794 and (f) 0.899, respectively. With up to five slices (Fig. 6b; 2.5 mm in the Z-direction), connectivity did not increase.

Between (b) and (c), connectivity started to increase. With 50 slices (Fig. 6f; 25 mm in the Zdirection), almost all quartz rods (89.9%) were found to be connected. In Fig. 6f, the separate quartz rods (10.1%), which did not belong to the largest cluster, were located at the corners of the analysed space  $(28 \times 28 \times 25 \text{ mm}^3)$ . These separate rods are also shown in Fig. 4d in grey, and we can confirm that they are located at the corners. Thus, such quartz rods would be included in the largest cluster if the analysed space became larger. This result is consistent with the hypothesis of Simpson (1962) that all quartz rods are parts of the same crystal. By image analysis we were able to assess the interconnection quantitatively.

We could also investigate the structures of the junctions of connected quartz rods in XZ-planes synthesized by image processing (Fig. 7) though we could not clearly confirm those structures in XY-planes (X-ray CT images). Figure 7 shows how the quartz rods join or separate. Such information will be important in revealing the crystal growth mechanism of the quartz rods and the origin of graphic granite. These subjects (e.g. Fenn, 1986; Lentz and Fowler, 1992; Stel, 1992) will be discussed in a separate paper. By image analysis, we can obtain tomographic (2-dimensional) images of sections cut in any orientation and it becomes easy to investigate the structures. This is one of the significant points that advanced the technique compared to the serial surface grinding of Simpson (1962).

Thus, the combination of X-ray CT and 3-dimensional image analysis is a powerful tool in analysing the 3-dimensional interconnection of a mineral. However, we cannot identify the crystallographic orientation by these techniques. For example, even the quartz rods that did not show simultaneous extinction in Fig. 2b were also thought to be components of the largest cluster shown in Fig. 6f. However, this result is not a mistake of the above connection analysis. The quartz rod surrounded by the broken line in Fig. 2b consists of two domains some of which show

simultaneous extinction with some of the quartz rods and some of which do not. Therefore, the quartz rods which do not show simultaneous extinction also touch the largest cluster, and the conclusion on the connection was true. The connectivity obtained by X-ray CT carries no information on the crystallographic orientations because the X-ray CT technique is not based on XRD but on X-ray absorption. Conversely, this aspect will be a good point to analyse the interconnection of poly-crystals having different crystallographic orientations, e.g. chains (network structure) of plagioclase phenocrysts in basalt discovered by Philpotts *et al.* (1998) in a partial melting experiment.

## Shape analysis

We calculated a 2-point correlation function AC(dx, dy, dz) using the binary images in order to analyse the 3-dimensional shape of the quartz rods (Fig. 8). The value at the origin AC(0,0,0) was 0.232, which is the highest value and represents the volume fraction of quartz. In the dz = 0 slice, we can recognize the high AC(dx, dy, dz) area around the origin (bright area). With decreasing dz, the area having the high AC value moves away from the centre point, and the AC value decreases gradually. Since the 2-point correlation function is symmetrical with respect to the origin (dx, dy, dz)= (0,0,0), the bright area moves in the opposite direction in the slices for  $+1 \leq dz \leq +10$ , though these slices are not shown in Fig. 8. We carried out the ellipsoid fitting to the area with high correlation value  $\geq 0.116$  (bold contours in Fig. 8), which was half of AC(0,0,0). The fitting was performed by minimizing or maximizing the 2nd moments around the axes (Appendix).

The result of the ellipsoid fitting is shown in Fig. 9. The shape of the quartz rods could be approximated to an elongated ellipsoid with large aspect ratio. The elongation axis of the ellipsoid is considered to correspond with the elongation axes of the quartz rods with preferred orientation. This can be confirmed by comparing Figs 9 and 6*f*.



FIG. 7. Junctions of quartz rods recognized in binary images on the XZ-plane. The images were synthesized by a computer using 50 slices (XY-plane) obtained by X-ray CT. The numbers above the images indicate slice numbers along the Y-axis.



FIG. 8. Three-dimensional distribution of two-point correlation function AC(dx, dy, dz) of the quartz rods. Each diagram shows a slice of AC(dx, dy, dz) for fixed dz. The ranges of dx, dy and dz are  $-18 \le dx \le +18$ ,  $-18 \le dy \le +18$  and  $-10 \le dz \le 0$ , respectively, and the centre point of each diagram is (dx, dy) = (0,0). Since the function is symmetrical with respect to the origin (dx, dy, dz) = (0,0,0), the slices for  $+1 \le dz \le +10$  can be derived from the slices shown in the figure. Contour lines are drawn at an interval of 0.0232 which is 1/10 of AC(0,0,0). The bold line indicates AC(dx, dy, dz) = 0.116 which is half of AC(0,0,0).



FIG. 9. The average three-dimensional shape of quartz rods in the specimen derived from an ellipsoid fitting to the high value area of two-point correlation function  $(AC(dx, dy, dz) \ge 0.116)$  shown in Fig. 8. The rectangular cell corresponds to that of Fig. 6f.

According to the crystallographic analysis by a precession camera, this elongation direction (i.e. *c*-axis of the fitted ellipsoid) corresponds approximately to the crystallographic *c*-axis of the quartz rods.

Such image analysis of crystallographic preferred orientation using the elongation axis has been carried out in only two dimensions (e.g. Simigian and Starkey, 1986). Thus this shape analysis by 2-point correlation function and ellipsoid fitting is useful not only for investigation of shape but also for the crystallographic orientation analysis in three dimensions.

## Conclusions

We developed techniques to analyse 3-dimensional connection and the shapes of crystals by X-ray CT and image analysis. Graphic granite was the material selected for these analyses. The conclusions in this study are summarized as follows:

(1) The difference in CT values from one slice to another was recognized. This difference is due to the beam-hardening, and we corrected the CT values based on the cross-sectional areas of the specimen.

(2) The cluster labelling technique showed at least 89.9% of the quartz rods to be connected with each other in the specimen. This result of interconnection of quartz rods was consistent with the previous study by Simpson (1962).

(3) The 2-point correlation function was calculated in order to investigate the 3-dimensional shape of the quartz rods. The ellipsoid fitted to the high-value area of the function represented a very elongated shape whose elongation axis almost corresponded to the crystallographic *c*-axes of the quartz rods.

Furthermore, we confirmed the following useful points: (1) We can obtain quantitative information on the connection of crystals using the 'connectivity' value. (2) We can observe the precise structure of junctions of connected crystals from various angles by image processing. (3) We can obtain the average shape and elongation axis of crystals by 2-point correlation function and an ellipsoid fitting. (4) All of these processes can be performed 3-dimensionally and non-destructively. (5) The techniques are not time-consuming.

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## Appendix

## Ellipsoid-fitting to a three-dimensional object

We carried out ellipsoid-fitting to the 3-dimensional object (i.e. high-value region of a 2-point correlation function) and obtained the directions of principal axes and the ratios between the radii of the object (ellipsoid). This fitting was performed on the assumption that the centre of balance of the object corresponded with the centre of the ellipsoid. Firstly, the direction the *c*-axis of the ellipsoid was determined by minimizing the 2nd moment around *c*-axis. Next, the *a*-axis was determined by maximizing the 2nd moment around *a*-axis. The detailed calculation process is as follows.

#### Expression of an ellipsoid

Here we show the fundamental equations which express an ellipsoid. Internal space within an ellipsoid was expressed as:

$$\left(\frac{a}{A}\right)^2 + \left(\frac{b}{B}\right)^2 + \left(\frac{c}{C}\right)^2 \le 1 \qquad (A1)$$

where *a*, *b* and *c* indicate the values of a coordinate of the point in *abc*-coordinate system, and *A*, *B* and *C* indicate the radii on the *a*, *b* and *c*-axes, respectively (Fig. Al*a*). In this appendix we define  $A \leq B \leq C$ . The volume of the ellipsoid is expressed as:

$$V = \int \int \int dadbdc = \frac{4}{3}\pi ABC \qquad (A2)$$

The 2nd moment around the origin of the coordinate axes (a, b and c-axes) is expressed as:

$$M_0 = \int \int \int (a^2 + b^2 + c^2) dadbdc$$
  
=  $\frac{1}{5}V(A^2 + B^2 + C^2)$  (A3)

The 2nd moments around the a, b and c-axes are expressed as:

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#### Transformation between the coordinates

Here we show the way to transform a coordinate belonging to a coordinate system into a coordinate belonging to other coordinate systems. If the *abc*-coordinate system is not the same as the *xyz*-coordinate system, i.e. if the angles  $\lambda$ ,  $\phi$  and  $\theta$  in Fig. A1 $b \neq 0$ , we have to transform the coordinates to express the ellipsoid in the *xyz*-coordinate system. The transformation of the coordinate belonging to the *abc*-coordinate system can be transformed into the coordinate belonging to the *xyz*-coordinate system by the equation

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} = a\vec{e}_a + b\vec{e}_b + c\vec{e}_c \qquad (A5)$$

where

$$\vec{e}_{a} = \begin{pmatrix} \sin\lambda\cos\theta + \cos\lambda\sin\phi\sin\theta \\ -\cos\lambda\cos\theta + \sin\lambda\sin\phi\sin\theta \\ -\cos\phi\cos\theta \end{pmatrix}, \\ \vec{e}_{b} = \begin{pmatrix} -\sin\lambda\sin\theta + \cos\lambda\sin\phi\cos\theta \\ \cos\lambda\sin\theta + \sin\lambda\sin\phi\cos\theta \\ -\cos\phi\cos\theta \end{pmatrix} \text{and} \\ \vec{e}_{c} = \begin{pmatrix} \cos\lambda\cos\phi \\ \sin\lambda\cos\phi \\ \sin\phi \end{pmatrix}$$
(A6)

for  $-\pi \leq \lambda \leq \pi$ ,  $-\frac{1}{2}\pi \leq \phi \leq \frac{1}{2}\pi$ ,  $-\pi \leq \theta \leq \pi$ .



FIG. A1. Coordinate system expressing an ellipsoid. The sign of the Z-axis is reversed. (*a*) An ellipsoid and its principal axes. (*b*) The generalized coordinate system of ellipsoid in the case where the *abc*-axes of the ellipsoid do not correspond to the *XYZ*-axes of the observation coordinate system.

Image data

Image data are constructed in the *xyz*-coordinate system and the origin of the coordinate system does not lie at the centre of the object. Thus, as a simple calculation, we moved the origin of the coordinate system to the centre (of gravity) of the object. The centre of gravity of the object is expressed as a vector in the *xyz*-coordinate system:

$$\vec{\mathbf{R}}_0 = \frac{1}{N} \sum_{i=1}^{N} \begin{pmatrix} X_i \\ Y_i \\ Z_i \end{pmatrix}$$
(A7)

where N is the number of voxels which belong to the object, and X, Y and Z are the values of the coordinate of the *i*th voxel. In the following calculations, this point (coordinate) is regarded as the centre of the *xyz*-coordinate system. Furthermore, the centre point of the ellipsoid was fixed at the origin of the *xyz*-coordinate system. Thus we transformed all the coordinates in the old *xyz*-coordinate system into the new coordinates as follows:

$$\vec{r}_i = \begin{pmatrix} x_i \\ y_i \\ z_i \end{pmatrix} = \begin{pmatrix} X_i \\ Y_i \\ Z_i \end{pmatrix} - \vec{\mathbf{R}}_0 \qquad (A8)$$

Using equation A6, we can transform the coordinate of each voxel in *xyz*-coordinate

system into the coordinate in *abc*-coordinate system

$$\vec{\mathbf{r}}_i = a_i \vec{\mathbf{e}}_a + b_i \vec{\mathbf{e}}_b + c_i \vec{\mathbf{e}}_c \tag{A9}$$

The volume of the object and the 2nd moment around the origin of the coordinate axes are expressed as;

$$V = N\Delta \tag{A10}$$

$$M_0 = \sum_{i=1}^{N} (a_i^2 + b_i^2 + c_i^2) \Delta = \sum_{i=1}^{N} |\vec{\mathbf{r}}_i|^2 \Delta = L_0 \Delta \quad (A11)$$

where  $\Delta$  indicates the volume of each voxel and  $L_0$  is

$$L_0 = \sum_{i=1}^{N} (x_i^2 + y_i^2 + z_i^2)$$
 (A12)

From equations A3, A10 and A11, we can obtain

$$A^{2} + B^{2} + C^{2} = 5M_{0}/V = 5L_{0}/N$$
 (A13)

#### Determination of the c-axis

From the calculations above we established the essential values of the object. Here we determine the *c*-axis of the object. Only the angles  $\lambda$  and  $\phi$  are involved in these calculations. The angle  $\theta$  is

irrelevant From equations A6, A8, A9, A10 and A11, the 2nd moment around the *c*-axis is expressed as:

$$M_{c} = \sum_{i=1}^{N} (a_{i}^{2} + b_{i}^{2}) \Delta = \sum_{i=1}^{N} \left( |\vec{\mathbf{r}}_{i}|^{2} - c_{i}^{2} \right) \Delta$$
  
=  $M_{0} - \sum_{i=1}^{N} c_{i}^{2} \Delta = M_{0} - \sum_{i=1}^{N} (\vec{\mathbf{r}}_{i} \cdot \vec{\mathbf{e}}_{c})^{2} \Delta$  (A14)  
=  $M_{0} - \sum_{i=1}^{N} \{ (x_{i} \cos \lambda + y_{i} \sin \lambda) \cos \phi + z_{i} \sin \phi \}^{2} \Delta$ 

We determined  $\lambda$  and  $\phi$  which minimizes the value of  $M_c$ . From equations A4 and A13, we can determine

$$A^2 + B^2 = 5M_c/V = 5L_c/N$$
 (A15)

where

$$L_c = M_c / \Delta \tag{A16}$$

Finally, from equations A13 and A16, we can determine

$$C^2 = 5(L_0 - L_c)/N$$
(A17)

and then, we can determine the radius of C.

#### Determination of the a and b-axes

In the last section we determined the direction of the *c*-axis (i.e. the angles  $\lambda$  and  $\phi$ ) and radius *C*. In this section, we determine the angle  $\theta$  by maximizing the 2nd moment around the *a*-axis. Maximizing the 2nd moment around the *a*-axis  $(M_a)$  is equivalent to minimizing the 2nd moment around the *b*-axis  $(M_b)$ . Then we determine the radii *B* and *C*.

The 2nd moments around the a and b-axes are expressed as: (see equations A18 to 21 below)

$$M_{a} = \sum_{i=1}^{N} (b_{i}^{2} + c_{i}^{2}) \Delta = \sum_{i=1}^{N} (|\vec{\mathbf{r}}_{i}|^{2} - a_{i}^{2}) \Delta = M_{0} - \sum_{i=1}^{N} a_{i}^{2} \Delta$$
  

$$= M_{0} - \sum_{i=1}^{N} (\vec{\mathbf{r}}_{i} \cdot \vec{\mathbf{e}}_{a})^{2} \Delta = M_{0} - \sum_{i=1}^{N} (h_{i} \cos \theta + v_{i} \sin \theta)^{2} \Delta \qquad (A18)$$
  

$$= M_{0} - L_{ab}(\theta) \Delta$$
  

$$M_{b} = \sum_{i=1}^{N} (c_{i}^{2} + a_{i}^{2}) \Delta = \sum_{i=1}^{N} (|\vec{\mathbf{r}}_{i}|^{2} - b_{i}^{2}) \Delta = M_{0} - \sum_{i=1}^{N} b_{i}^{2} \Delta$$
  

$$= M_{0} - \sum_{i=1}^{N} (\vec{\mathbf{r}}_{i} \cdot \vec{\mathbf{e}}_{b})^{2} \Delta = M_{0} - \sum_{i=1}^{N} (-h_{i} \sin \theta + v_{i} \cos \theta)^{2} \Delta \qquad (A19)$$
  

$$= M_{0} - L_{ab}(\theta \pm \frac{1}{2}\pi) \Delta$$

where

$$L_{ab}(\theta) = \sum_{i=1}^{N} (h_i \cos \theta + v_i \sin \theta)^2$$
(A20)

and

$$h_i = x_i \sin \lambda - y_i \cos \lambda$$
  

$$v_i = (x_i \cos \lambda + y_i \sin \lambda) \sin \phi - z_i \cos \phi$$
  

$$(i = 1, \dots, N)$$
(A21)

We determined  $\theta$  ( $0 \le \theta < \pi$ ) which minimizes the value of  $L_{ab}$ . Then we calculated

$$L_a = M_a / \Delta = L_0 - L_{ab}(\theta)$$
  

$$L_b = M_b / \Delta = L_0 - L_{ab}(\theta \pm \frac{1}{2}\pi) \qquad (A22)$$

From equations A4 and A13, we can obtain

$$B^{2} + C^{2} = 5M_{a}/V = 5L_{a}/N$$
$$C^{2} + A^{2} = 5M_{b}/V = 5L_{b}/N$$
(A23)

Finally, from equations A13 and A16, we can obtain:

$$A^{2} = 5(L_{0} - L_{a})/N$$
  

$$B^{2} = 5(L_{0} - L_{b})/N$$
 (A24)

and then, we can determine the radii A and B.